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2

3 METHODS AND SYSTEMS FOR INTERACTIVE EVOLUTIONARY COMPUTING (IEC)  
4

5 CLAIM OF PRIORITY

6 [0001] This application claims priority to the following provisional patent applications, the  
7 entireties of which are expressly incorporated herein by reference: U.S.S.N. 60/460,434 filed on  
8 April 4, 2003, U.S.S.N. 60/491,703 filed on August 1, 2003, U.S.S.N. 60/506,412 filed on  
9 September 26, 2003, U.S.S.N. 60/523,058 filed November 18, 2003, U.S.S.N. 60/534,604 filed  
10 January 6, 2004, U.S.S.N. 60/537,761 filed on January 20, 2004, and 60/539,230 filed on January  
11 26, 2004.  
12

13 BACKGROUND

14 (1) Field

15 [0002] The disclosed methods and systems relate generally to interactive evolutionary  
16 computing (IEC), and more particularly to IEC embodiments when a fitness or objective function  
17 is a priori mathematically unexpressed.

18 (2) Description of Relevant Art

19 [0003] Evolutionary Algorithms (EA) can be used in solving and/or approximating solutions to  
20 multifaceted problems, and/or problems that may change over time. In some embodiments,  
21 evolutionary algorithms can generally be understood to include stochastic search methods that  
22 replicate natural biological evolution. Accordingly, use of EAs is predicated on an ability to  
23 parameterize possible solutions to a problem using a data structure upon which genetic  
24 operations can be performed. Those of ordinary skill understand that Genetic Algorithms are an  
25 instance of EAs in which the data structure includes a fixed-length list of values (e.g., single bit),  
26 where such data structure elements can be referred to as “genes.”

27 [0004] Often, evolutionary algorithms operate on a population of potential solutions by applying  
28 a “survival of the fittest” principle to produce approximations to a solution, and includes

1 evaluating potential solutions against a prescribed and/or specified objective or fitness function.  
2 A new solution set of approximations is thus created at each generation by selecting potential  
3 solutions (“individuals”) according to their level of “fitness” in the problem domain (i.e.,  
4 identifying those best approximating the specified fitness function), and breeding these selected  
5 “individuals” using operators emulating natural genetics. Such a process facilitates an evolution  
6 of populations of “individuals” that are better suited to their environment than the individuals  
7 that they were created from, just as in natural adaptation.

8 **[0005]** Evolutionary algorithms can thus model natural processes including selection,  
9 recombination, mutation, migration, locality, and neighborhood. Evolutionary algorithms are  
10 generally performed in a parallel manner, using for example, a migration, global, or diffusion  
11 model, to operate on populations of individuals rather than single solutions/individuals.  
12 Accordingly, a solution set of individuals (e.g., population) can be randomly initialized, and an  
13 objective or fitness function can be evaluated for these individuals. If optimization criteria are  
14 not met, a new generation is created where individuals are selected according to their fitness for  
15 the production of offspring. Parents can be recombined to produce offspring, and offspring can  
16 be mutated with a certain probability. The fitness of the offspring is then computed, and the  
17 offspring replace the parents in the population to provide a new generation. This cycle is  
18 performed until the optimization criteria are reached (e.g., satisfying an error criteria between one  
19 or more solutions, and the fitness/objective function). In some embodiments, the fitness/object  
20 function may be unknown, and/or a priori, mathematically unexpressed, thereby rendering the  
21 aforementioned cycle inoperable.

## 22 SUMMARY

23  
24 **[0006]** Disclosed are method and systems that include generating a solution set based on an  
25 evolutionary scheme in which an objective function is a priori mathematically unexpressed,  
26 presenting data based on the solution set to one or more users, receiving at least one input from  
27 the user(s), the input(s) based on the user(s)’s evaluation of the presented solution set, and, based  
28 on the input(s), using at least the evolutionary scheme and the input(s) to generate an updated

1 solution set, and repeating the presenting and receiving. The user input(s) can include a rank of  
2 solutions in the solution set, a rating of solutions in the solution set, one or more fitness values, a  
3 selection of a solution in the solution set, a selection of a feature of at least one solution in the  
4 solution set, a termination of the method, an identification of parents for a genetic algorithm, at  
5 least one constraint, a modification of at least one constraint, a modification of at least one  
6 genetic operator, and/or a specification of at least one genetic operator. The genetic operator(s)  
7 can include selection, crossover, mutation, and/or elitism, and/or variants thereof. The method  
8 can be terminated based on the user input(s).

9 **[0007]** In some embodiments, presenting data based on a solution set to the user(s) can  
10 include presenting data based on the solution set in parallel, and presenting data based on the  
11 solution set in sequential order. Further, receiving at least one input from the user(s) can include  
12 aggregating the at least one input. In one embodiment, receiving the input(s) from the user(s) can  
13 include weighting the input(s).

14 **[0008]** For the disclosed methods and systems, using at least the evolutionary scheme and  
15 input(s) to generate an updated solution set can include updating the solution set based on a time  
16 since presenting the data to the user(s). Also, using at least the evolutionary scheme and the  
17 user(s)'s input(s) to generate an updated solution set can include generating a population based  
18 on the evolutionary scheme and the input(s), and, applying the population to at least one data set.  
19 In one embodiment, using at least the evolutionary scheme and user(s)'s input(s) to generate an  
20 updated solution set includes, based on whether a condition is satisfied, iteratively using the  
21 evolutionary scheme and the user(s)'s input(s) to generate an updated solution set before  
22 presenting the data based on the solution set to the at least one user. The condition can include,  
23 for example, satisfying a number of generations, satisfying a fitness function level, achieving a  
24 specified distance between solution alternatives, and/or achieving a diverse population.

25 **[0009]** In some embodiments, using at least the evolutionary scheme and the user(s)'s  
26 input(s) to generate an updated solution set can include applying at least one constraint to the  
27 data set(s), and/or weighting the constraint(s), where the weighting can be based on a user  
28 associated with the constraint.

1 [0010] In disclosed embodiments, presenting data based on a solution set can includes  
2 identifying solutions from the solution set to present to the user(s), where such presented  
3 solutions may be a subset of the solution set. Identifying such solutions for presentation can  
4 include identifying based on at least one constraint and/or a best fit scheme. The presented data  
5 can include collective behavior, at least one physical property a solution(s) in the solution set, a  
6 statistical measure(s), and/or a statistical plot(s), for example. As provided herein, the user(s)'s  
7 input(s) can be obtained asynchronously. In some embodiments, the methods and systems can  
8 include a user(s) modifying a solution(s) of the solution set based on an input(s) from the user(s).

9 [0011] Also disclosed is a system and a computer product having instructions disposed on a  
10 computer readable medium, the system and computer product having a processor(s) in  
11 communications with a display(s), the processor(s) having instructions for causing the  
12 processor(s) to present on the display(s), data based on a solution set to a user(s), the solution set  
13 based on an evolutionary scheme in which an objective function is a priori mathematically  
14 unexpressed, receive an input(s) from the user(s), the input(s) based on the user(s)'s evaluation of  
15 the presented solution set, and, based on the user(s)'s input(s), use at least the evolutionary  
16 scheme and the user(s)'s input(s) to generate an updated solution set, and iteratively repeat the  
17 present and receive instructions. The system and computer product also include processor  
18 instructions for performing other aspects as otherwise disclosed herein.

19 [0012] Other objects and advantages will become apparent hereinafter in view of the  
20 specification and drawings.

## 21 22 BRIEF DESCRIPTION OF THE DRAWINGS

23 [0013] FIG. 1 is a block diagram of one example embodiment of the disclosed methods and  
24 systems, etc.

25 FIGs. 2A-2C show some variations on a second example embodiment of the disclosed  
26 methods and systems;

27 FIG. 3 is an example of one user interface for one illustrative embodiment of the  
28 disclosed methods and systems;

FIG. 4 is a second example of one user interface for one illustrative embodiment of the disclosed methods and systems;

FIG. 5 is a third example of one user interface for one illustrative embodiment of the disclosed methods and systems;

FIGs. 6A-B show spectrograms related to a sonification embodiment;

FIGs. 7A-B show two embodiments of GP additive synthesis;

FIGs 8A-B show examples of pre-processing for a sonification embodiment;

FIG. 9 shows examples of post-processing for a sonification embodiment;

FIG. 10 illustrates a sample GUI for a sonification embodiment;

FIG. 11 is an example GUI providing sonified multi-dimensional cluster data;

FIG. 12 provides illustrative 3x3 convolution kernels for different image processing schemes;

FIG. 13 shows the effects of some convolution kernels on an image; and,

FIG. 14 provides an example GUI for an image processing embodiment.

### DESCRIPTION

**[0014]** To provide an overall understanding, certain illustrative embodiments will now be described; however, it will be understood by one of ordinary skill in the art that the systems and methods described herein can be adapted and modified to provide systems and methods for other suitable applications and that other additions and modifications can be made without departing from the scope of the systems and methods described herein.

**[0015]** Unless otherwise specified, the illustrated embodiments can be understood as providing exemplary features of varying detail of certain embodiments, and therefore, unless otherwise specified, features, components, modules, and/or aspects of the illustrations can be otherwise combined, separated, interchanged, and/or rearranged without departing from the disclosed systems or methods. Additionally, the shapes and sizes of components are also exemplary and unless otherwise specified, can be altered without affecting the scope of the disclosed and exemplary systems or methods of the present disclosure.

1       **[0016]**     The disclosed methods and systems include various EA embodiments for which it  
2       may not be possible to encode, describe, or otherwise express a goal or possible solution by a  
3       quantifiable fitness and/or objective function, as such criteria may be subjective and/or  
4       mathematically difficult and/or complex to quantify (e.g., high order of constraints in defining  
5       the objective function). Further, in some of such embodiments, such criteria may be desired to  
6       be applied to a collective behavior rather than applied on an individual basis. In some  
7       embodiments of the disclosed methods and systems, the evaluation of a solution can be highly  
8       subjective. Accordingly, the methods and systems apply evolutionary techniques where the  
9       objective function is a priori unspecified, and determined subjectively by a user via the disclosed  
10      methods and systems, based on input(s) from the user(s). Whether the objective function  
11      (otherwise known as a fitness function) is a priori unknown, incomplete, susceptible to change,  
12      and/or a priori unexpressed mathematically, such conditions can be referred to herein collectively  
13      as “a priori mathematically unexpressed.”

14      **[0017]**     As provided herein, EA (and more specifically, genetic algorithms (GA) and/or  
15      genetic programming (GP)) can generally include three factors that include: a population of  
16      solutions that may be randomly initialized, one or more mutation operators capable of altering  
17      and/or changing at least one of the solutions to a neighboring solution (e.g., a local search  
18      operator), and a recombination operator which can recombine a genotype of two parents into a  
19      child solution inheriting traits from both parents (e.g., a global search operator). Recombination  
20      can include reproduction, mutation, and/or crossover, where crossover can be understood to be  
21      the combination of two individuals (the “parents”) to produce two more individuals (the  
22      “children”). Some embodiments may employ elitism. Those of ordinary skill will recognize that  
23      crossover can include asexual crossover and/or single-child crossover. Accordingly, crossover  
24      can be understood to provide genetic material from a previous generation to a subsequent  
25      generation. Mutation introduces randomness to the process. The variations of EA are thus well  
26      known in the art, and the disclosed methods and systems are not limited by such implementations  
27      and/or variations of embodiments. It can be understood that using the disclosed methods and  
28      systems, via the EA (e.g., GP/GA), a user(s) can guide a search through a specified domain

1 which is often a multi-dimensional domain, to obtain a solution. The user(s)'s input can thus be  
2 in the form of modifying operators of the search (e.g., modifying parameters of the EA/GP/GA  
3 such as parameters related to mutation and crossover), assigning fitness/ranking to a given set of  
4 solutions, and/or by modifying components of a fitness function and/or constraints, for example.

5 **[0018]** Accordingly, although in some EA applications, a solution can be obtained by  
6 comparing individual solutions to a pre-determined objective and/or fitness function, in the  
7 disclosed embodiments, the objective and/or fitness function is not pre-determined and/or  
8 otherwise entirely known (i.e., it is a priori mathematically unexpressed), but rather, such fitness  
9 function can be defined, designated, and/or otherwise obtained using the disclosed methods and  
10 systems, via user(s) input(s) during iterations and/or epochs of the EA. The user(s) input(s) can  
11 be of the type mentioned herein (e.g., modifying EA parameters, assigning rank, modifying  
12 components of fitness/constraints).

13 **[0019]** In some embodiments, a solution is not based on individual solutions (e.g., agents),  
14 but rather, a solution can be based on a user-input that can be based on a user-evaluation of the  
15 collective population (e.g., swarm). The disclosed methods and systems can thus allow for a  
16 collective evaluation of the population by a user, rather than an individual evaluation of  
17 population constituents against an objective function. In such embodiments, it can be understood  
18 that the disclosed methods and systems may not include an objective function, but rather, a  
19 subjective evaluation of the collective behavior of the population.

20 **[0020]** Because the "search" for a solution is based on user(s) input(s), the disclosed methods  
21 and systems allow for several parallel and/or sequential representations of the possible solutions  
22 identified in each EA/GA/GP iteration to be visually presented to one or more users.

23 Accordingly, one or more users can be presented (e.g., graphical user interface, display, etc.) with  
24 several parallel and/or sequential representations of solutions, and the ability to provide user  
25 input via selection tools and modification mechanisms (e.g., sliders, entry boxes, selection/drop-  
26 down boxes, etc.). The different users may be provided such solutions at a single processor-  
27 controlled device, and/or such users may be connected to multiple processor-controlled devices,  
28 for example, over a network. The methods and systems thus contemplate a stand-alone and/or

distributed multi-user environment that can include one or more client-server relationships. For example, a user can assign fitness values to the various solutions according to the perceived value or interestingness of the solutions presented to such user. In an embodiment, the user-deemed highest fitness solutions can be selected to make a new generation of offspring solutions, borrowing from the multiplicity of the characteristics in the such user-selected fitness solutions. In a multi-user embodiment, fitness values (and/or other inputs) assigned by the users can be aggregated to the solutions. Aggregation can be performed by weighting fitness values based on the user, and/or applying equal weights to users. For example, user(s) input(s) (e.g., additional constraints and/or other inputs) may be weighted based on the identity, status, etc., of the user (e.g., a supervisor may be provided more weight than a non-supervisor.). In one embodiment, fitness values (and/or other inputs) can be weighted based on a user's response time. Those of ordinary skill in the art will recognize that there are many different aggregation and/or weighting mechanisms that can be employed, and the disclosed methods and systems are not limited by such variations.

**[0021]** In some embodiments, multiple users may be given an interval of time in which to respond, and if a response is not received from a given user(s) within the time interval, such user's input(s) may not be employed to obtain a new solution set. The user may or may not be informed of the time interval. In some embodiments, a user's input(s) may be used even though such input(s) was based on a previous generation, and thus, based on the foregoing, the disclosed methods and systems can be understood to allow for asynchronous input of users.

**[0022]** Although the described methods and systems can contemplate a human user, a user can include a non-human user that can be configured with a pattern-recognition mechanism. For example, one embodiment can employ machine vision or other pattern recognition concepts to identify a determined pattern, and cause a selection of a parallel embodiment as provided herein. In some embodiments, visual representations may additionally and/or optionally include not only the agents' collective behavior pattern, but data representing properties and/or characteristics of the collective behavior (e.g., physical and/or other properties, statistical measures, statistical plots, etc.).



1       **[0023]**     Figure 1 shows one example embodiment of the disclosed methods and systems,  
2       where based on the embodiment (e.g., the design being considered, the problem to be addressed,  
3       etc.), primitives such as objectives, constraints, fitness evaluation criteria, etc., can be developed  
4       **110**, and upon which a population of solutions can be developed **112** (e.g., using a genetic  
5       algorithm). The solutions can be applied to the data **114** applicable to the embodiment, and at  
6       least some of the solutions, and/or data based upon such solutions (e.g., plots, property,  
7       characteristic, collective behavior, etc.), can be provided to one or more users **116** for visual  
8       inspection. The user can thereafter provide input based on the user's preferences **118**, which as  
9       provided herein, can be subjective to the user(s), and can include a preference/selection, a  
10      ranking, an additional constraint, a modification of a constraint, a selection of a parent, and/or  
11      another user input allowed by the embodiment. In the Figure 1 embodiment, the user input is  
12      generally a ranking. Based on the user's input, genetic operations can be performed **120** and a  
13      new population generated **112**. The example process of Figure 1 can continue until a user(s)  
14      determines that a solution is obtained **122**. Those of ordinary skill will understand that the  
15      example method and system of Figure 1 can be rearranged, as provided herein, and for example,  
16      can include user input at other additional and/or optional places in the processing.

17      **[0024]**     In a sample embodiment of the Figure 1 systems and methods, consider a selected  
18      design of a paper airplane which may be representative of a user's subjective belief to be  
19      approximately the correct relative dimensions for a desired flight trajectory. In a next generation,  
20      a user can be provided with parallel configurations, where the selected configuration can remain  
21      unchanged, while the designs in other parallel embodiments may mutate based on the rules of the  
22      selected embodiment, and/or recombination with a random member of the current generation of  
23      embodiments. Accordingly, the determination of a "next" generation is not based solely on  
24      evaluation against a single objective function, but rather the subjective expertise of one or more  
25      experts selecting an overall fittest design, thereby allowing for a collective evaluation of the  
26      solutions (e.g., designs) by experts, rather than an automated evaluation of the solutions (e.g.,  
27      designs) with respect to a static and explicit objective function. Achievement of a set of  
28      primitives to create the desired functionality is thus expedited by the user(s)'s selection

1 procedure. Further, the number of iterations/generations is determined by the users incorporating  
2 expert expertise.

3 **[0025]** Design is commonly understood in the art as the process of identifying solutions to a  
4 problem. More specifically the design process aims to discover solutions that satisfy, at least  
5 partially, all constraints while optimizing at least one implicit or explicit objective. As provided  
6 herein, the disclosed methods and systems can apply Interactive Evolution (IE) to the design  
7 process of systems with very large design spaces, in which it may be impractical to explicitly  
8 identify a categorical set of relative priorities of objective functions. The expression of a design  
9 can assume a number of different forms, from an aesthetic composition to perishable goods to  
10 functional equipment to behavioral based rules that generate global behaviors.

11 **[0026]** Figures 2A-2C show three variations on an example embodiment of the disclosed  
12 methods and systems in which user input can be of various forms, and in which a design solution  
13 is obtained using the disclosed methods and systems. The three variations are illustrative of the  
14 aforementioned ability to rearrange and/or combine different aspects of the disclosed methods,  
15 and with like elements numbered identically in the three variations, references herein to “Figure  
16 2” to include a reference to any one of Figures 2A-2C.

17 **[0027]** The aforementioned design solution can be in response to a design problem, and as  
18 the Figure 2 embodiments indicate, a process can begin by defining a design problem **210** using  
19 independent parameters, objectives, constraints, and one or more evaluation functions that  
20 describe the objectives’ dependencies on the independent parameters. In some embodiments, the  
21 design definition can also include identifying a genotype representation of design alternatives, a  
22 fitness evaluation scheme that can be influenced by the objectives, and determining procedures  
23 for design alternatives that violate constraints.

24 **[0028]** Further, a design space to search for a solution can be identified **212**. One  
25 embodiment can identify a design space by testing a random sample, from uniform distributions,  
26 of design variable values and determining (e.g., counting) the number of times constraints are  
27 violated. For example, if less than ten percent of the random sample satisfies all constraints,

constraints may be relaxed and/or new technologies can be introduced to the system, and/or the dependencies of the constraint functions on the design variable settings can be altered.

**[0029]** In the Figure 2 embodiments, solutions can be generated and thereafter, upon processing described further herein, provided to the designers/users **214**, and accordingly, designers can provide input to the system **216** by processing the displayed information and communicating preferences of objectives/solutions, features of interest in particular design alternatives/solutions, whether specific design variables values should be held constant in future iterations, one or more parameter settings the EA should use in the next iteration, and/or whether the design alternatives/solutions should be parents for the next generation. The variations in the information to provide to the designers, and the information to allow designers to affect, can vary based on the design problem/embodiment.

**[0030]** Based on the input from the designer(s), the search/EA can be affected such that new populations of alternatives can be generated **218**. Variation operators such as mutation, crossover, weighted average, permutation, and/or representation specific can be used, based on the embodiment, to provide the desired objectives. The generated solution alternatives can then be evaluated **220** based on the design objectives and the constraints to provide constraint and objective values. Those of ordinary skill will understand that various evaluation methods using varying numerical analysis techniques can be used. A subset of design alternatives can be identified **222** based on the different population members' constraint and objective values. Based on whether the designer(s)'s condition (e.g., a number of EA iterations) is satisfied for ending this iteration **224**, parents can be identified **226** with a return to generating a new population of alternatives **218**, or the set of solutions (and/or data based thereon) can be presented/displayed to the designers **214**. The designer condition **224** can include satisfying a specified number of generations in an iteration, reaching a specified fitness/objective function level, establishing a specified (e.g., minimum) distance level between design alternatives, guaranteeing a diverse population, etc., with such conditions provided for illustration and not limitation. As provided previously herein, based on the satisfaction of the condition **224**, the solutions (or a subset thereof) can be presented to the designer(s) **214**, or new parents can be

selected for the EA next generation 226. The new parents can be specified 226 based on the fitness of the population members and/or designer feedback. Further, the presentation of solutions/design alternatives 214 can include a determining, for large objectives, which design alternatives to display. Such down-selecting process can include cluster analysis, displaying representative alternatives based on the objectives and/or design variables, and/or down-selecting based on design alternatives having the highest fitness, with such examples provided for illustration and not limitation.

[0031] With reference to the Figure 2 embodiments, a design solution can be determined 228 based on the designer(s) providing input(s) 216 indicating a solution that satisfies the design requirements. As provided previously herein, the embodiments of Figure 2 are illustrative, and it can thus be understood that the organization of the processes and schemes of the Figure 2 embodiments can be further rearranged, combined, expanded, etc., without departing from the scope of the disclosed methods and systems.

[0032] In an example embodiment according to Figure 2, for example, a jet design can be demonstrated using a Genetic Algorithm (GA) with a random starting point as the EA. In the sample embodiment, the GA can be interrupted every eighty generations (e.g., condition 224) to display the current population in the form of pictures with objective values and aircraft configurations. Based on this information, a designer can make choices regarding objective preferences to redirect the EA/search and features of interest to influence selection. For illustrative purposes, only the redirection of the EA/search through objective performances is implemented.

[0033] Accordingly with reference to Figure 2, in a problem definition phase 210 of the example jet design embodiment, five groups of design variables were chosen and displayed, including general variables (e.g., vehicle variables), and geometric parameters for the wing, fuselage, empennage, and engine. The chromosome for the example includes thirty-five variables that can be varied to identify the “best” design (e.g., as provided by the user/designer). A mix of economic, size, and performance parameters were chosen as objectives in the example embodiment, with an emphasis on noise generation. Accordingly, for an initial loop of the

Figure 2 schemes, the Boom Loudness, and Acquisition Cost are provided higher importance (e.g., twenty percent), while other objectives were set at ten percent. Because certain noise levels could be prohibitively large (e.g., fail regulatory approval), some noise objectives had constraints imposed upon them. Other constraints included approach speed, take-off and landing distances, positive amounts of fuel, and/or fuel reserve, for example. Fitness can be computed via a weighted sum of normalized objective values, penalized by a twenty percent increase in value when a constraint is violated. The constraints, objectives, normalization values, and preferences are provided in Figure 3, and as shown to a designer(s)/user(s).

**[0034]** Determining the amount of feasible design space the constraints allow for searching **212** included executing a large number of random samples (e.g., 10,000) from uniform distributions defined over the design variable ranges. Each sample was evaluated with an analysis tool and a constraint parameter determined. The samples not violating constraints were counted and divided by the total number of samples to yield feasibility as a value between zero and one. In the present example, feasibility was .07 percent. By fixing Design Range and Mach Number, feasibility increased to fifty percent.

**[0035]** The GA could then be executed (e.g., Figure 2, **218, 220, 222, 224**) without input from the designer, although in some embodiments, user input can be provided. The GA included a population size of twenty, a elite pool of two, and a probabilistic selection of crossover with one random splice point based on the fitness values of the individuals. Parent solutions were replaced with offspring, and each new member had a fifteen percent probability for mutation at ten genes, sampling a new value from a uniform distribution over the entire range of design variable values.

**[0036]** Once the GA exceeded eighty generations (e.g., Figure 2, **224**), the GA was interrupted to display the population of design alternatives **214**. In the example embodiment, only four of the solutions were displayed, with those four including the four “best” designs based on fitness and highest diversity in geometrical features. Figure 4 shows an example display provided to a user(s)/designer(s). Chromosome information is provided on the left-hand pane. In Figure 4, the right-hand panes provide objective and constraint information pertaining to the

1 population and the highlighted design alternative. The top pane presents objective values for the  
2 highlighted design alternative, with objective preferences and normalization factors used to  
3 generate the fitness values of the present population. The spider graph compares the four  
4 alternatives based on normalized objective values, and the right-most four graphs display  
5 objective values for the entire population. Below the spider chart is a table of constraint  
6 parameter values for the highlighted design alternative and respective constraint values. At the  
7 bottom of Figure 4 are graphs displaying the population with respect to its member's constraint  
8 parameter values with the infeasible region superimposed.

9 **[0037]** A user can thus provide input(s) (e.g., Figure 2, **216**), upon analyzing that all  
10 objectives except Boom Loudness were satisfied. Accordingly, in the next iteration of the GA,  
11 Boom Loudness' preference can be increased to thirty percent while reducing Acquisition Cost to  
12 ten percent. Such input can be provided to the GA by the user via a pop-up window, for  
13 example, and although such input redirects the search, selection (e.g., of parent, component,  
14 design variable value) is not influenced.

15 **[0038]** As provided with respect to Figure 2, the cycle can continue, with the GA executing  
16 **218, 220, 222, 224, 226** for eighty generations (e.g., condition, **224**) before providing the four  
17 best alternatives **214** to the user/designer, and receiving the next user input(s) **216**. Upon  
18 analysis, a user/designer may change the objectives to satisfy the subjective desires of the  
19 designer, and can terminate the process **228** when a design is achieved that satisfies the user's  
20 objectives.

21 **[0039]** It can thus be understood that the example paradigms of Figures 1 and 2, where an a  
22 priori mathematically unexpressed objective and/or fitness function can be determined based on  
23 selections from a user, can be applied to a multitude of applications in a variety of embodiments.  
24 For example, the disclosed methods and systems can employ evolutionary schemes and agent-  
25 based modeling to facilitate an evolution of rules to cause a population of agents to perform a  
26 collective task. The task can be a pattern and/or formation and/or aggregation of the agents, for  
27 example. As provided herein, the agents can be initialized with random and/or predefined initial

conditions and agent activity in their environment can be based upon one or more rules that can be further based on the activities of one or more of the other agents in the population.

**[0040]** In one embodiment, several parallel configurations and/or embodiments of the methods and systems can be visually presented to a user(s) or another. The visual representation(s) can be used as a basis for creating and/or designing implicit rules leading to and/or otherwise related to the emergence of a collective behavior. It can be understood that the collective behavior can be derived from such rules. The parallel configurations can be varied based on method of visualization (e.g., coloring, relative position, etc.), number of agents, number of agent rules, assignment of agent rules, agent properties and/or attributes (e.g., speed, shape, energy level, etc.), and other factors. As provided herein (e.g., Figure 1, 118, and/or Figure 2, 216) a user or another can cause rule evolution by iteratively selecting one of the parallel configurations, where such selected configuration may be subjectively considered by the user to represent a desired collective behavior. Based on the selected configuration or another criteria (e.g., random recombination), other parallel configurations can be generated to provide a new generation of parallel configurations. Such an embodiment may follow a paradigm based on Figure 1, in which a user input includes a ranking/selection, rather than Figure 2, in which user inputs may generally be greater in number and sophistication.

**[0041]** The number of generations can be user-determined, and accordingly, the number of generations can be based on a desired collective behavior of the agents and/or properties relating thereto (e.g., problem solving capability). In such an embodiment, the disclosed methods and systems can thus employ agent-based modeling (ABM) and interactive evolution (IE) for effectuating, designing, and/or otherwise causing a collective behavior of autonomous decision-making entities that can be referred to as agents (e.g., “swarm intelligence”). Swarm intelligence can be directed towards collective and/or distributed problem solving without providing centralized control of the agents.

**[0042]** In such an embodiment, the collective behavior can thus be understood to be user-defined, and thus can alter or otherwise be determined, defined, and/or designated based on a user selection and/or other user input. As is known in the art, and as with the various

embodiments provided herein, the user-input can be provided in a variety of manners, including selection via a computer mouse, joystick, keyboard, touchpad, stylus, voice and/or audio command, and other available means for providing an input to a processor-controlled device.

[0043] Agent-based modeling (ABM) thus models agents such that an individual agent can assess its situation and can make decisions based upon a set of rules, and therefore, an ABM can be based on a system of agents and the associated relationships between agents. Agents can execute various behaviors based on the system(s) which the agents represent (e.g., producing, consuming, selling, with such examples provided for illustration and not limitation). It can be understood that an ABM can exhibit complex behavior patterns and hence may provide information about the dynamics of the system that the ABM emulates. The system can be an actual (e.g., “real-world”) system, or a theoretical system.

[0044] For the disclosed embodiment, a solution is thus not based on individual solutions (e.g., agents), but rather, a solution can be based on a user-input that can be based on a user-evaluation of the collective population (e.g., swarm), thereby allowing for a collective evaluation of the population by a user, rather than an individual evaluation of population constituents against an objective function.

[0045] In a more specific embodiment of the disclosed methods and systems that is provided for illustration and not limitation, the EA and ABM methods can be integrated to provide methods and systems that facilitate a user-driven methodology for evolving behavior of multiple agents. In the illustrative system, the population can include agents that can be configured to follow and/or otherwise adhere to one of two rules, although those of ordinary skill will understand that other embodiments can use one or more rules. Such rules can be understood herein to be one or more instructions that can be executed by a microprocessor.

[0046] In the illustrative system, a selected agent, S, can be randomly associated with two other agents, referred to herein as A (attacker) and B (defender). Further, agent S can be randomly associated with an “aggressor rule” or a “defender” rule. When the association is with the aggressor behavioral rule, S’s position changes to maintain a position that positions B between S and A. When S is associated with the defender behavioral rule, S’s position changes



1 to maintain a position between A and B. As provided herein, the association of agents S, with  
2 attackers and defenders, can be random. Further, the association of agents, S, with an agent rule  
3 can similarly be random. In the illustrative system, agents, S, can also be associated with a target  
4 point to which the respective agent should move. These target points can be associated with a  
5 fixed area, and the target points can be randomly associated with agents. The initial agent  
6 locations can also be randomly determined in some embodiments. Those of ordinary skill will  
7 understand that the aforementioned random associations and determinations, and/or some of such  
8 random associations, may be fixed associations in some embodiments.

9 **[0047]** In one embodiment of the illustrative system, human-like rules can be applied to the  
10 aggressor and/or defender rules (e.g., positioning can be to “block line-of-sight”), regardless of  
11 the relative distances between S, A, and B. Those of ordinary skill will recognize that other  
12 variations can be used. Other concepts such as collision detection can be implemented to  
13 otherwise affect movement of agents.

14 **[0048]** The methods and systems can also employ parametric rules that can be based on  
15 mathematical rules rather than, for example, the aforementioned human-like rules. In parametric  
16 embodiments, the positions between S, A, and B can be computationally determined, for  
17 example, although such example is provided for illustration and not limitation.

18 **[0049]** In one embodiment, the rules for a population of N agents can be encoded using a  
19 chromosome of length N, where agents can be associated with a number 1-N, and a gene in the  
20 chromosome corresponds to and specifies an agent’s rule, an associated aggressor agent number,  
21 and an associated defender agent number.

22 **[0050]** The illustrative embodiment of the example system also can include two or more  
23 interfaces to observe parallel versions and/or configurations of different configurations and/or  
24 embodiments. For the illustrative systems and methods, the parallel versions can allow differing  
25 numbers of: agents, “aggressor” agents, “defender” agents, agents following human-like rules,  
26 agents following parametric rules, etc. Other options can include varying the speed of agent  
27 movement between zero and some predetermined maximum, varying the movement and/or

1 “step” size (e.g., distance) that an agent can move in a given time interval, varying the size of the  
2 area in which agents can move, etc.

3 **[0051]** In a parallel embodiment configuration, for example, a user(s) can collectively  
4 evaluate the parallel populations and select one of the given parallel embodiments, while  
5 modifying objectives. Figure 5 provides an example graphical user interface for a user based on  
6 the described embodiment. For example, the selected parallel configuration/embodiment may  
7 represent the embodiment that the user subjectively believes to be approximating a desired  
8 collective behavior. In a next generation of the parallel configurations, the selected configuration  
9 can remain unchanged, while the rules by which agents in other parallel embodiments act may  
10 mutate based on the rule(s) of the selected embodiment, and/or recombinations with a random  
11 member of the current generation of embodiments. Accordingly, the determination of a “next”  
12 generation is not based on an objective function, but rather, a collective behavior of a selected  
13 agent population, as such collective behavior is assessed and selected by a user. The rules to  
14 achieve the collective behavior can thus mutate based on such selection. Further, the number of  
15 rule iterations/generations can be determined by the user(s) when the collective behavior of the  
16 agents satisfies a desired collective behavior as determined by the user(s).

17 **[0052]** The disclosed methods and systems can also be understood to reflect and/or otherwise  
18 be applied to dynamic behavior of the agents. The dynamic behavior can be considered as the  
19 changing states or properties of the agents as time passes (e.g., relative to time) where these  
20 changing states can be due to other agent and/or environmental characteristics and/or properties  
21 (e.g., agent movement).

22 **[0053]** Another embodiment where ABM modeling is applied concerns financial markets,  
23 and accordingly, the disclosed methods and systems are also applicable to such application. In  
24 one such embodiment, Interactive Evolutionary Computation (IEC) can be used to discover  
25 parameters of an agent-based model of a financial market from aggregate observations of the  
26 “true” model. As provided herein, the user(s) can operate a visualization tool to navigate a  
27 parameter space using genetic operators. Locations in the parameter space can resolve to a set of  
28 parameter values that define traders and their trading strategies, which can generate a synthetic

price history. A user(s) can employ the disclosed methods and systems to find a combination of values that can reproduce the target price history. In one embodiment, a java-based model of a financial market can be used, where each model includes order management and clearing mechanisms (e.g., an order book), traders operating trading strategies, a market maker posting orders on the book which are matched with traders' orders, and a price history.

[0054] In such an embodiment, a small initial population of ABMs can be generated with random parameter values, with resulting price histories generated by running the models and providing the price histories to the user(s) (e.g., via display). A user(s) can select patterns according to objective and subjective criteria the user(s) may employ in visually comparing the price histories with a target. The user(s) can thus affect the search by configuring genetic operators (e.g., elitism (copied to a new generation), mutation, crossover, percent mutated, proportion/percent crossover versus percent mutation, etc.) to produce a new generation of models based on the user-selected "fittest" solutions in the previous generation. The new generation is simulated, and price histories presented to the user, etc., until the user determines that a price history reflects the target.

[0055] In one embodiment, the disclosed methods and systems can be applied to search/analysis/visualization (hereinafter "SAV") models, some of which may combine search with analysis and/or visualization (e.g., clustering techniques), as the space of SAV models can be high-dimensional, and navigating such space manually may not be efficient and may not ensure a satisfactory coverage of models. Nonetheless, it may take several human beings to evaluate each model because of the amount of implicit knowledge, subjective experience, and intuition that may be required for the evaluation. Furthermore, one may not always know ahead of time, for what to look. In a simple example, a first search model might fetch a set of items that are not "interesting" (e.g., "Middle East males younger than 65" returns too many items) while a second search model may return more interesting results (e.g., "Middle East males taking flight lessons" is a smaller and potentially more "interesting" subset); however, determining which search model yields more interesting results is often not obvious beforehand because of the complexity of the data (e.g., that the second search model is more interesting might only

1 appear when the search has been performed, that is, when the model has been applied to the  
2 data). Further, different experts might bring a diversity of perspectives to the search model and it  
3 may be difficult to leverage such diversity. The disclosed methods and systems can thus  
4 reconcile SAV through a large space of models (e.g., potentially thousands or millions of SAV  
5 models) with human evaluation of each model, and/or enable users to explore SAV models  
6 without full a priori, explicit knowledge of what they are looking for in the data, while allowing  
7 participation by more than one expert and/or user.

8 **[0056]** Accordingly, the disclosed methods and systems can employ IE to guide the  
9 exploratory design and testing of SAV models for discovering patterns in data and understanding  
10 data. In such embodiments, IE can leverage the knowledge and expertise that is in the form of  
11 un-verbalized and/or un-formulated and/or implicit and/or subjective search criteria, where in  
12 some situations, experts may not be able to formulate ahead of time (e.g., a priori) for what they  
13 are looking, but know that they will recognize it when they see it. Exemplary applications  
14 include, but are not restricted to, data mining and data understanding for security, bio-  
15 informatics, marketing, consumer understanding, operational analysis or strategic purposes.

16 **[0057]** Accordingly, and with reference to Figure 1, the disclosed systems and methods can  
17 assist in discovering patterns in data by generating a population of search and/or analysis and/or  
18 visualization models **112**, applying the models to the data **114**, displaying the results for  
19 evaluation by one user/expert or a group of users/experts **116**, using input(s) from the expert(s) or  
20 user(s) **118** to generate a new population of search and/or analysis and/or visualization models  
21 **120**, and, iterating until an “interesting” pattern is discovered **122** (e.g., as determined by the  
22 experts). The generation of the search models may consider a formal objective function and  
23 formulated constraints and criteria as well as the multiplicity of subjective criteria. Some  
24 embodiments may allow for further interaction of the user, such as provided in the Figure 2  
25 embodiments.

26 **[0058]** The exploratory design of SAV models for data mining and data understanding can  
27 thus be facilitated by the disclosed methods and systems when the search criteria are not fully  
28 known and/or formalized a priori. The evolved solution can incorporate the implicit knowledge,

1 subjective preferences, and satisfy the implicit constraints of the expert(s) or user(s) while  
2 alleviating the human energy required to validate the solution before evaluation. The data can be  
3 numerical, non-numerical, quantitative, qualitative, deterministic, uncertain, and/or noisy, etc.  
4 The nature of the data does not affect the disclosed methods and systems, however, the nature of  
5 the data does influence the choice of specific SAV models that can be used.

6 **[0059]** Accordingly, several solutions can be initially generated either randomly or through  
7 some relevant heuristic within a predefined space of solutions, where a solution can be an SAV  
8 model. The dimensions of the pre-defined space of solutions can define primitives that can be  
9 used to construct SAV models. For example, the space of solutions can be a sub-space of the  
10 space of queries that can be formulated using a query language such as SQL, and/or it can be the  
11 space of possible same-time correlation functions of the field variables that characterize the data,  
12 and/or it can be a space of parameterized visualization algorithms, etc. Constraints can be added  
13 by the expert(s)/user(s) so that certain solutions are not presented. For example, a user might  
14 want to explore SAV that do not look at temporal correlations beyond one month: models  
15 presented to the user will then satisfy that constraint, enabling the user to explore a specific  
16 subset of model space without concern for the validity of the generated solutions.

17 **[0060]** Each of the generated solutions can be applied to the data (Figure 1, 114) in a variety  
18 of ways. For example the SAV models can be applied to a subset of the attributes and/or to a  
19 data sample.

20 **[0061]** As provided herein, several parallel or sequential representations of the outcomes of  
21 applying each SAV model to the data can be visually presented to an expert(s) and/or user(s).  
22 The expert and/or user can evaluate the displayed results. The evaluation can be performed  
23 through direct visual inspection and/or may involve a more complex interaction between each  
24 expert or user, and the results obtained with the various SAV models of the current generation.  
25 For example, each expert or user may want to manipulate the results, alter the visualization, view  
26 the results from different visual angles, etc. Interactive Evolutionary Computation (IEC) allows a  
27 broadening of the search space of possible solutions by direct application of each of the  
28 expert(s)'s or user(s)'s subjective criteria, implicit knowledge, and preferences to evaluate the

1 results they are presented. Each expert or user assigns fitness values to the various SAV models  
2 according to the perceived value or interestingness of the results presented to them.

3 **[0062]** As provided previously herein, the highest fitness SAV models can be selected to  
4 make a new generation of offspring SAV models borrowing from the multiplicity of high fitness  
5 SAV models from all the expert(s)/user(s) who opted to assign fitness values in their allotted  
6 time frame. In a multi-expert or multi-user context, aggregate of fitness values can be employed.  
7 Each expert/user may further modify the existing SAV models “manually” in that the expert/user  
8 can alter the SAV models by introducing changes to the models’ parameters. Results obtained  
9 from user-modified SAV models can then be displayed for evaluation and may either be added to  
10 the current generation or discarded by the user.

11 **[0063]** One or more of the experts/users may also guide the search, by constraining it to  
12 certain SAV models that satisfy certain properties, and/or by preventing certain SAV models  
13 from being produced, and/or by suggesting modifications to the current generation of SAV  
14 models that the user(s) finds more likely to produce higher fitness SAV models, and/or by  
15 altering the mutation and/or crossover operators to apply differentially to different parts of the  
16 SAV models. A new generation of solutions can be created following an evolutionary algorithm.  
17 Genetic operators based on a selected configuration or other criteria (e.g., random  
18 recombination) can allow parallel solutions to be generated based on the expert(s)’s or user(s)’s  
19 input from the interactive process. As provided with respect to Figures 1 and 2, the new  
20 generation of solutions can then be presented to the expert(s) or user(s) in an iterative process.  
21 The number of generations can be expert/user-determined dependent on the objective and/or  
22 fitness function(s), and/or the iterative process may end when a stopping criterion is satisfied.

23 **[0064]** In another illustrative embodiment, the disclosed methods and systems can be applied  
24 to exploratory data analysis (EDA). In a first of such example applications, the methods and  
25 systems can be employed to determine and/or evolve two-dimensional linear projections of a  
26 dataset to reveal features of high-dimensional data, with evolutions stopping based on the insight  
27 a given projection provides into the dataset. In a second example, the disclosed methods and  
28 systems can be used to evolve a “true” metric of attribute space by evolving the attribute space

1 distance function until subjectively desired features of the data are revealed when a clustering  
2 scheme is applied. In the second of such embodiments, a distance function can be evolved until  
3 it produces a compelling cluster using a given clustering scheme.

4 **[0065]** In the aforementioned embodiments, a small initial population of solutions (e.g.,  
5 linear projections, or distance functions) can be generated **110-112** and applied to the data **114**  
6 (e.g., apply projection to dataset, or apply distance function to dataset and clustering scheme).  
7 The results can be provided to the user **116** who can select **118** the subjectively most fit  
8 solution(s), and/or assign a fitness value to one or more of the displays. A new population of  
9 solutions can be generated using genetic operations **120**, and in some embodiments, randomly  
10 generated solutions can be injected into the population to provide diversity. The new  
11 population's two-dimensional representations can then be computed, etc., with the process  
12 iterating until a user specifies a stop condition and/or another condition is satisfied.

13 **[0066]** Similarly, the disclosed methods and systems can allow one or more individuals to  
14 interactively search for an entry in a database when the entry is not known to the individual(s),  
15 the search criteria by which the final entry is selected are not known (or expressed), the search  
16 criteria rating for the data entry is too subjective to compare multiple entries objectively, and/or  
17 relative preferences for the different search criteria are not known, expressed, or changes over  
18 time, e.g., during the search. With continued reference to Figure 1 (and Figure 2), the disclosed  
19 methods and systems can aid the search by generating a population of possible solutions to the  
20 search, displaying the possible solutions for evaluation by the individual(s), using input(s) from  
21 the individuals(s) to generate a new population of solutions, and employing an iterative process  
22 until the individual(s) decides on the final solution. The generation of solutions may consider a  
23 formal objective function, formulated constraints and criteria, and the multiplicity of subjective  
24 criteria.

25 **[0067]** The evolutionary schemes can thus facilitate the search of large but finite databases  
26 when the search criteria are not fully known and/or formalized ahead of time by incorporating  
27 implicit knowledge, subjective preferences, and satisfying implicit constraints of the user(s)  
28 while reducing the effort users would have to put into a search with traditional techniques.

1       **[0068]**     In such an embodiment, several possible solutions can be initially identified either  
2 randomly or through some relevant heuristic within the predefined space of possible solutions,  
3 where a possible solution is an entry in the database. Since the size of the database is finite, the  
4 space of solutions is the database. The dimensions of the solution space are the primitives that  
5 can be used to identify database entries. For example, the space of possible solutions can be a  
6 database of vacation packages, in which the individual solutions are identified by destination, trip  
7 duration, price, etc. Furthermore, constraints can be added by the user(s) so that certain solutions  
8 are not presented. For example, a user might want to limit the price to a certain maximum value,  
9 e.g., vacation packages presented to the user then will satisfy that constraint, enabling the user to  
10 explore a specific subset of vacation package space without being distracted by solutions that are,  
11 for example, too expensive. Such an embodiment can allow for the aforementioned parallel  
12 and/or sequential representations of the possible solutions identified in each iteration (e.g., user is  
13 presented with vacation package attributes (destination, price, type of entertainment, educational  
14 qualities, opportunities to relax, etc.)) for evaluation through direct visual inspection and/or a  
15 more complex interaction between each user and the representations of the possible solutions in  
16 the current generation (e.g., result manipulation by altering the valuation for the different criteria,  
17 comparing the different solutions from different angles, etc.). As in the other embodiments  
18 described herein, each user assigns fitness values to the various solutions according to the  
19 perceived value and/or interestingness of the results presented to them.

20       **[0069]**     In one example of an online shopping website for gifts, a user might be looking for a  
21 gift, without a specific idea for the gift. In this embodiment, the disclosed methods and systems  
22 can assist the user in finding an item by iteratively presenting new possibilities, with the user  
23 indicating (e.g., input, ranking) which of those choices are appealing. For example, the website  
24 could present the user in the first iteration with a jet ski, a watch, a statue, and a scarf. After  
25 indicating which of these items the user prefers, through ranking or some other method, the  
26 proposed approach would present in the next iteration, for example, the watch and the statue with  
27 a table clock and a credit card holder. By iteratively rating and generating new solutions, the user  
28 can search a large database of gift ideas in a directed manner without having to look at/evaluate



1 gift ideas of no interest. Once the user is presented with a gift choice desired by the user for  
2 purchase, a selection could place the gift choice into an online shopping basket for later check-  
3 out. Once the user is satisfied with the user's choices, the iterative process can terminate and the  
4 user might proceed to check-out or simply exit.

5 **[0070]** In another embodiment, the disclosed methods and systems can be applied to drug  
6 discovery, where drug discovery can be understood to be a process of identifying chemical  
7 compounds for clinical trials when starting from a broad set of molecules obtained from high-  
8 throughput screening (HTS) and/or other technologies delivering entry points for drug discovery  
9 programs. In the lead generation phase, the initial set of active molecules obtained from HTS,  
10 also called hits, are progressed into lead series by a comprehensive assessment of chemical  
11 integrity, synthetic accessibility, functional behavior, structure-activity relationships (SAR), bio-  
12 physio-chemical and adsorption, distribution, metabolism and excretion properties (ADME).  
13 Starting with lead series, lead optimization is aimed at producing compounds that have the  
14 desired activity and drugability properties. The sequence from hit to lead series to optimized lead  
15 can be important in reducing attrition in the costly clinical phases by intercepting many crucial  
16 issues (often ADME- and toxicity-related) before it is too late to resolve them. Despite the  
17 availability of numerous computational models that often reliably predict a wide range of  
18 molecular properties, chemists are not using such computational tools and there is also a  
19 tendency to resort to synthesis more than might be necessary. The disclosed methods and  
20 systems can thus enable a multi-disciplinary search for compounds that exhibit a range of  
21 desirable characteristics when in practice, the multi-criterion objective function and the  
22 constraints may not be known a priori. Accordingly, the disclosed methods and systems can  
23 employ the aforementioned interactive evolution principles to guide the exploratory design and  
24 testing of compounds to discover compounds with "desired" properties.

25 **[0071]** Hit to lead optimization (HILO) is commonly understood as an iterative process of  
26 selecting molecules from an initial set (hit or lead series), testing their properties either after  
27 synthesis or using in silico models, and selecting new, better molecules in several rounds of  
28 feedback cycles. Accordingly, with reference to Figure 2, the disclosed methods and systems can

1 assist chemists and drug discovery experts discover such compounds by generating populations  
2 of molecules from an initial set of molecules using automated or user-defined search criteria **210-**  
3 **212**, displaying the molecules and some of their calculated or actual properties for evaluation by  
4 one user/expert or a group of users/experts **214**, using input(s) from the expert(s)/user(s) **216**  
5 and/or actual assays after some of the molecules have been synthesized to generate a new  
6 population of molecules **218**, and continuing as provided in Figure 2 until compounds with the  
7 desired properties (e.g., as determined by the experts/users) are discovered. As in the other  
8 embodiments, the user(s) can guide the search for the next generation of molecules by  
9 subjectively evaluating the desirability of the displayed molecules and/or by providing manual  
10 additions or deletions to existing molecules, such as additions or removals of groups of atoms, by  
11 defining search operators based, for example, on the addition or deletions of groups of atoms or  
12 based on special-purpose crossover/recombination operators, by forbidding certain molecular  
13 types from being generated, by altering or fine tuning the multi-criterion, multi-constraint  
14 objective function, and/or by requesting the synthesis of one or more of the displayed molecules  
15 or variations thereof for wet testing. In a multi-user/multi-expert context, the collective expertise  
16 of the group is aggregated into a coherent discovery and decision-making process.

17 **[0072]** The systems and methods thus enable HILO experts to interact with the search in  
18 various ways, either by evaluating compounds or by defining search operators, by defining the  
19 objective function and/or the search constraints, and/or by deciding to synthesize certain  
20 compounds whose properties do not appear to be correctly predicted by computational models.  
21 In this manner, the multiple, explicit or implicit, search criteria and constraints are considered,  
22 thereby restoring the multi-disciplinary nature of HILO, while allowing the integration of the use  
23 of computational models (e.g., computational chemistry tools to predict various properties (e.g.,  
24 activity, selectivity, stability, ADME properties, mutagenicity, etc) of the molecules. Such  
25 models can also assess the reliability of their predictions. The user(s) can select which models  
26 are executed at each generation. Accordingly, with reference to Figure 2, user input **216** can  
27 include selections of models, parameters for the models, etc.

1       **[0073]**     With continued reference to Figure 2, in one embodiment, several solutions can be  
2       initially generated through some relevant heuristic within a predefined space of solutions, where  
3       a solution is a molecule. The first generation of molecules may, for example, result from adding  
4       or removing particular atoms or groups of atoms to the starting set of molecules (hit or lead  
5       series) at random locations, and/or by applying particular recombination operators to the initial  
6       set of molecules. The generated molecules satisfy basic chemical constraints. In addition,  
7       constraints can also be added by the expert(s)/user(s) so that certain molecules are not presented.

8       For example, a user not might want to explore molecules that have a particular sub-structure:  
9       molecules presented to the user(s) will then satisfy that constraint, enabling the user(s) to explore  
10      a specific subset of molecular space without concern for the validity of the generated molecules.

11     **[0074]**     In an embodiment, some molecules can be selected by the user for synthesis and  
12     testing. In particular, if the computational models do not appear to produce reliable predictions  
13     for certain key attributes, the user may resort to synthesis and run assays to measure the  
14     properties associated to the key attributes. The results of the actual assays can be provided to the  
15     system as user input **216**.

16     **[0075]**     As in other embodiments, several parallel or sequential representations of the  
17     molecules (e.g., a 2D and/or 3D display of the molecular structure, results of their evaluations by  
18     a range of computational models or actual assays, etc.) and other information/data (e.g.,  
19     estimated reliabilities of the models' predictions) can be visually presented to each  
20     expert(s)/user(s). Accordingly, each expert or user can be presented with several parallel or  
21     sequential representations of the molecules and some of their computed or assayed properties.  
22     The estimated reliabilities of the models' predictions can also be displayed so the user can decide  
23     whether it may be necessary to resort to synthesis.

24     **[0076]**     In one embodiment, the highest fitness molecules can be selected **216** to make a new  
25     generation of offspring molecules borrowing from the multiplicity of high fitness molecules from  
26     the expert(s)/user(s) who opted to assign fitness values in their allotted time frame. The new  
27     generation of molecules can be calculated **218** using genetic operators such as mutation and  
28     crossover applied to the selected molecules following a standard scheme such as roulette wheel

1 sampling or other schemes known in the art. Mutation operators can be defined for example as  
2 additions, deletions, or substitutions of atoms or groups of atoms. Crossover operators take two  
3 molecules and recombine them. Genetic operators allow parallel solutions to be generated based  
4 on the expert(s)'s or user(s)'s input from the interactive process.

5 **[0077]** The particular mutation and crossover operators used to calculate the new generation  
6 of molecules can be based on the specific molecular space explored. In an embodiment, the  
7 mutation and crossover operators can be defined interactively by the user **216**, for example, to  
8 explore particular variations of a particular molecular structure. A user may also guide the search  
9 **216** by constraining the search to certain molecules that satisfy certain properties, and/or by  
10 preventing certain molecules from being produced, and/or by suggesting modifications to the  
11 current generation of molecules that the user(s) finds more likely to produce higher fitness  
12 molecules, and/or by altering the mutation and/or crossover operators to apply differentially to  
13 different parts of the molecules.

14 **[0078]** It can be understood that a user may alter and/or redefine all or part of the objective  
15 function. Because HILO is a multi-criterion process, the user can, for example, modify how  
16 different criteria are weighted in the objective function, and/or the softness/hardness of certain  
17 constraints **216**. For example, at a certain stage in the process, the user may increase emphasis  
18 on certain ADME properties and less on activity and selectivity properties. In this configuration  
19 of the system, an evolutionary algorithm using user-specified mutation and crossover operators  
20 will use the user-defined objective function as the fitness function and will execute  
21 automatically, without human intervention for a user-specified number of generations or until a  
22 stopping criterion is met (e.g. see Figure 2). At the end of such an execution, the user can  
23 evaluate the molecules generated and may decide to continue with the same objective function  
24 and genetic operators for a user-defined number of generations of automated evolution, modify  
25 the objective function and/or constraints and/or genetic operators and execute a user-specified  
26 number of generations of automated evolution, and/or switch to a more interactive mode where  
27 evolution takes place one generation at a time with user intervention between generations; thus,  
28 the fitness function can be defined by the priorities given to the objectives, where the appropriate

prioritization of objectives may be the unknown. Further, as provided herein, selection of a solution is not based solely on comparison with a fixed objective function, but rather a solution can be based on subjective expert/user-evaluation of the solution (e.g., in this embodiment, a molecule).

**[0079]** In a further embodiment, the disclosed methods and systems can be employed to explore high dimensional data space using sound. Sonification can be understood to be the transformation of data relations into perceived relations in an acoustic signal for the purposes of facilitating communication or interpretation. As in other embodiments, the objective and/or fitness function is a priori mathematically unexpressed, and although a design of a sound may be contemplated, such sound may not be known until it is heard. Such embodiments can be applicable in situations in which visual representations for orienting through data may be limited, and audio cues can be employed to alert a user(s) to details, such as specific patterns or errors that should not be ignored. As the dimension of the data increases, the scalability of sonifying data, rather than visualizing it, can provide benefits.

**[0080]** Sonification can take advantage of a human ear's ability to detect time-sequenced input at a high resolution. The auditory channel can detect specific sequences and trends, and also, an overall display of input versus its individual components (e.g., a listener can often hear an orchestral arrangement as a whole, and/or detect a particular instrument). Sound also creates an effective response which allows people to associate emotions and states to certain types of sounds. Using these responses can make intuitive interpretations of the sound easier.

**[0081]** Additionally, the application of evolving maps whose fitness is determined by their ability to detect patterns in data is amenable to sonification. A map with a specific set of parameters can be translated into a sound(s) which can audibly signify certain features of the data. Time series include one example, such as listening to the stock market by mapping the price to frequency. In data sets of many dimensions, there is no obvious mapping to a visual or an audible display, and model-based sonification can be used to translate from data to sound. The parameter specification and choice of method to navigate through the data aspects of

sonification provide example instances where the disclosed evolutionary algorithms can be employed.

[0082] The application of the disclosed methods and systems to sonification includes a process of converting raw data (e.g., time series, clusters, customer satisfaction data, etc.), into sounds. Such a process includes representing a sound mathematically, and it can be understood that a sound may be based on Equation (1):

$$tone = \alpha \sin(ft) \quad (1)$$

where  $\alpha$  is the amplitude of the sound,  $f$  is the frequency, and  $t$  is the time dependence for creating the sound (e.g., a pure tone is generated by fixing frequency and amplitude). Such tone can be sampled at a sampling rate (e.g., Nyquist rate) to provide for a digital sound that can be used by a processor-controlled system such as a computer.

[0083] Figure 6A shows a tone displayed as a spectrogram, with the horizontal axis representing time, and the vertical axis representing frequency. Adding multiple sine waves, or tones, creates more complex sounds. Figure 6B shows a spectrogram using multiple tones. This particular method of synthesizing sounds can be referred to as “additive synthesis,” and can be represented mathematically by Equation (2):

$$tone(t) = \alpha_i \sin(f_i t) + \beta_i \sin(\phi_i t) + \delta_i \sin(\gamma_i t) \quad (2)$$

[0084] As may be known, genetic programming can be used to represent a parse tree that contains terminals and operators and can be decoded to create a processing scheme. GP is usually implemented so that the processing scheme returns or provides a number. For example, a GP function “add(sin(mul(a,b)), sin(mul(a,c)))” can be decoded to a parse tree shown in Figure 7A. In this example, time and each data column are mapped directly to GP terminals, and using this mapping, GP can create a range of sounds and non-sounds. A less flexible option is shown in Figure 7B. Here the data columns are preprocessed with a time multiplier into a sine wave before being passed to GP terminals. Although less flexible, this option provides a narrower search that biases towards listenable outputs.

1       **[0085]**     Further, we can also consider the terminals in GP to be populated with data which can  
2       bias the search (e.g., GP) in the direction of creating audible sounds. For example, data could be  
3       preprocessed into time varying sine waves before being provided to the GP. A GP processing  
4       scheme could then use an addition scheme to replicate additive synthesis.

5       **[0086]**     It can be understood that the disclosed embodiments can be applied to raw, numeric,  
6       and/or qualitative data; however, if the data is small in magnitude, a mapping to sound amplitude  
7       could provide inaudible results. Further, the data mapping can consider providing data for an  
8       audible range. Such considerations can be provided as soft constraints which can be  
9       implemented using a preprocessing scheme which maps the mean of the data to a selected  
10      frequency, and distributes the other data accordingly. For a time-series, data can be mapped to a  
11      frequency after scaling. Other techniques for time-series include mapping of data to a moving  
12      average, differencing, and a percentage change, with such examples provided for illustration and  
13      not limitation.

14     **[0087]**     When preprocessing clustered data, aspects/characteristics of the cluster may be of  
15     interest (e.g., tight/spread cluster, location with respect to other clusters, etc.). Distances between  
16     clusters may be determined using, for example, a Euclidean measure and/or absolute distance,  
17     across dimensions. Figures 8A and 8B provide respective examples. Additive Synthesis can be  
18     used once cluster data has been evaluated in GP to allow the listener to analyze the data a cluster  
19     at a time.

20     **[0088]**     Embodiments can also include a post-processing (i.e., after the GP scheme evaluates  
21     the pre-processed data), in which data is made audible. Such post-processing can include a  
22     shifting of data to accommodate the audible range. Figure 9 shows examples of some possible  
23     post processing routines.

24     **[0089]**     As with the other embodiments provided herein, human feedback can be provided,  
25     which can include choosing sounds which exhibit interesting qualities for finding patterns in  
26     data. Through the aforementioned iterative process (e.g., Figures 1 and 2), a map can be built  
27     which accentuates the areas of the data in which the user is most interested. Accordingly, in one  
28     embodiment, a user(s) input(s) can indicate to the GP which function(s) to keep in the next

1 generation. The GP can create new versions of the map using mutation and crossover, which  
2 accept the pre-processed data as input. Mutation in GP can include modifying a branch of the  
3 parse tree, or replacing a current sub tree with a newly created one. Crossover can include  
4 creating a new 'child' tree by combining sub trees from two parent GP functions. In some  
5 embodiments, pre-processing schemes can also be evolved to provide a broader search.

6 **[0090]** In an example embodiment of the sonification embodiment, a user(s) can select a data  
7 file as input and/or elect for a number of clusters to be generated with specified standard  
8 deviations and centroids. The user can also see a visualization of the results of the sound  
9 function as a spectrogram of the output sound file. The operators available to the example GP  
10 (+, -, \*, sin, etc) are selectable from a GUI (e.g., see Figure 10). The combination of operators  
11 selected by the user(s) can be used in future mutations and/or newly created functions. In the  
12 illustrated embodiment, the user can specify whether full mutations are performed or  
13 perturbations of existing trees (e.g., make small changes to existing functions, rather than create  
14 and/or delete sub trees).

15 **[0091]** An interactive GUI can be demonstrated by creating data with one cluster having a  
16 Gaussian distribution in multiple dimensions. Results are shown for two and four dimensions of  
17 data. With reference to Figure 11, the spectrograms of several different functions are shown,  
18 with four dimensions in the cluster, labeled a, b, c, and d, with these spectrograms showing each  
19 dimension sonified by itself as a pure time varying sine function. Since the dimensions in this  
20 example are created using different distributions, the sound of each dimension will differ. The  
21 other spectrograms show combinations of dimensions using Additive Synthesis. The dimensions  
22 selected in each function are shown by the equation indicated on the spectrogram. Accordingly,  
23 because each dimension has a different sound and can be heard when combined with other  
24 dimensions, four or more dimensions can be analyzed through sonification. By interactively  
25 evolving functions that allow for the addition, subtraction, etc., of dimensions, the user can learn  
26 about the qualities of the cluster and can focus on combinations of dimensions. Sound filters can  
27 be used and evolved to eliminate noise and other aspects of the data that is not useful for  
28 analysis.



1       **[0092]**     In a further embodiment, the disclosed methods and systems can be used to provide  
2       an Interactive Evolutionary (IE) tool for Portfolio Management. An assessment of a portfolio can  
3       be difficult, and hence, can be described as having an objective function that may be understood  
4       to be a priori mathematically unexpressed. Portfolio management can be understood to include  
5       efficient distribution of resources required by the entities in the portfolio. Such entities can entail  
6       projects (e.g., industrial, developmental, etc.) and/or investments (e.g., paper, real estate, etc.),  
7       which are assumed to have uncertain outcome/pay-off (e.g., positive, negative, degree thereof),  
8       although the methods and systems are not limited to such definition of portfolio management, or  
9       such entities.

10       **[0093]**     With reference to Figure 2, as with other embodiments, independent parameters can  
11       be defined **210**, which can be understood herein to include, but not be limited to, genotype  
12       representation, objectives, and constraints. Although the management of a portfolio of projects is  
13       provided here as an example, the proposed approach is not limited to this example and can be  
14       applied to other portfolios of entities requiring some form of resources. The presumed problem  
15       then may include of a set of projects having a certain number of sequential and parallel tasks  
16       associated with them that require a specified number of different resources. Upon completion of  
17       tasks associated with one project, that project is said to have a certain payoff that may vary,  
18       depending on the timely completion of a project and other uncontrollable factors. Since projects  
19       generally require some of the different resources, at any given time there are not enough  
20       resources available to service the projects at the same time. The problem in managing this  
21       portfolio of projects thus includes assigning the available resources to achieve the highest amount  
22       of payoff based on the entire portfolio. In the illustrated embodiment, payoff is not limited to a  
23       monetary value, but includes objectives such as maximum market penetration, highest quality  
24       reputation, and minimal time to market.

25       **[0094]**     Using the aforesaid terminology, in this example, the independent parameters are the  
26       start times of the projects' tasks, the objective functions are the monetary payout after project  
27       completion, time to market, quality reputation, and market share, and the constraint  
28       functions/schemes are the levels of availability for the different resources. The choice of a

1 genotype representation can vary with the specifics of the portfolio and its entities, but in the  
2 present example, the genotype can include a variable length chromosome of real values depicting  
3 the start times for the tasks, i.e., a collection of the independent variables. As with the other  
4 embodiments of the disclosed methods and systems, genotype representation can be based on the  
5 embodiment, and can include fixed length, variable length, binary string, matrix, problem  
6 specific (e.g. some specific structure of values and logical statements), floating-point vector,  
7 permutations, symbolic expression/tree structure (GP), and others, with such examples provided  
8 for illustration and not limitation.

9 **[0095]** Continuing with the basic framework of Figure 2, a user(s) can next present input(s)  
10 **216** by processing displayed information, and communicating preferences for objectives, features  
11 of interest in particular portfolios or entities, whether specific variable values should be held  
12 constant in future iterations, parameter settings the EA should run with in the next iteration (e.g.  
13 a condition that identifies the end of the EA iteration), and/or whether specific portfolios should  
14 serve as parents for the next generation. Specifically the latter types of feedback could also be  
15 construed as “fitness” information about the portfolios that can otherwise not be calculated.  
16 Accordingly, information displayed to the designer(s)/user(s) can include data for the objective  
17 functions and design variables, and because such data is likely multidimensional, some display  
18 options can include cluster analysis and display, slide bars for design variables for components of  
19 a design alternative, objectives information in form of Pareto frontiers, spider charts, joint and  
20 univariate probability plots, prediction profiles, and/or constraint analysis. The display for the  
21 illustrated example could include graphs that inform the portfolio managers of resource  
22 utilization, total yearly payout, and average project time to market, with such graphs allowing for  
23 comparison of the different portfolios presented to the managers. Another display could include  
24 a drill down capability of looking at portfolio specifics to understand resource allocation and  
25 advancement of projects. An example display could include task start times and project work  
26 distribution over time, so that the portfolio manager(s) can express opinions about observed  
27 portfolio features. Such input, as provided previously herein, can include parent selection for the  
28 EA, objective preferences for the automated alternative evaluation procedure, and/or a guided

search for a portfolio with specific features, and/or objective preferences, features of interest, specific independent variable values, evolutionary algorithm parameters, parent solutions, and/or end of iteration condition (e.g., number of generations).

[0096] As also provided herein, input consolidation techniques and/or schemes can be used, and can be understood in one embodiment to include voting and/or scoring schemes. For example, group individuals can independently decide on their preference for alternatives, which can include voting without preference structure (e.g., spot vote), single vote, limited vote, and/or cumulative vote. In one embodiment, voting with preference structure (e.g., preferential vote) can be employed, including simple majority and pair wise comparison (e.g., condorcet principle). In some embodiments, all individual alternative objectives can be used to form one group decision, and where an ordinal approach is employed, such methods can use an agreed criteria/borda score approach. Similarly, a cardinal approach, such as a utility/weighted sum approach, TOPSIS, and/or JPDM can be used.

[0097] Utilizing the information provided by the portfolio managers 216, a new population of potential portfolios can be generated 218. The variation operators used to comprise the new population can impact the efficiency of the EA's search. For example, if the operator is chosen in poor correspondence to the representation and fitness function, it may be awkward to relate the variation operator to the distance metric of the fitness landscape. General variation operators can include:

- Mutation (Normal, Cauchy, Uniform)

*For example: 15% probability to mutate given chromosome at ten genes, new gene value chosen from uniform distribution over entire variable range*

- Crossover

*For example: 100% probability to do simple crossover with one random splice point*

- Replace parent solutions

*For example: parents are discarded after crossover or mutation*

- Keep parent solutions

- Weighted average

- Permutation
- Specific to representation (matrix manipulation)

[0098] With continued reference to Figure 2, population members can be evaluated **220**, and for the EA to distinguish between the different population members, the alternatives can be evaluated in terms of the objectives and constraints using numerical analysis. In one embodiment, the EA can utilize an integrated analysis tool that allows for timely evaluation of portfolio alternatives, although other tools can be employed. Such analysis tool can calculate problem-specific objective and constraint function values based on the independent variable setting(s). For example, the tool can calculate objective values for monetary payout after project completion, time to market, quality reputation and market share, and resource requirements for the constraints based on the start times of the project tasks in each portfolio evaluated. As in this example, whether a particular portfolio can be deemed “good” or “bad” can be judged many times only through a multitude of characteristics, i.e. objectives. Depending on the portfolio of entities to manage, such objectives can vary from utilization of available resources, to maximum return on investment, to other non-monetary qualities the portfolio entities might have.

[0099] Once each population member’s constraint and objective values are determined **220**, a subset of alternatives, as defined by the portfolio managers’ preferences, can be identified **222**. With such large population sizes, it can be impractical and unnecessary to subject the portfolio managers to the entire (large) population. It is therefore proposed to down-select the population prior to presentation. This down-selection can be based on the fitness values assigned to the portfolios under evaluation, e.g., picking from a list of ranked alternatives, or be fitness calculation free, such that an interesting set of solutions could be the Pareto frontier itself, or alternatives dominated by at most one other population member.

[00100] Since for most portfolio management problems, the fitness of a portfolio is based on the satisfaction of multiple objectives, a variety of approaches to fitness assignment or fitness-free selection can be employed for the disclosed interactive evolutionary process. Established MOGA methods as Goldberg’s Pareto ranking, a utility function method with weights representing the relative preferences for the objectives, Branke’s Focused Pareto Frontiers, Deb’s

Goal Programming adaptation, Parmee's Fuzzy Preferences, and/or uses of TOPSIS, LINMAP, and MinMax/MaxMin, could present a useful solution.

**[00101]** In some embodiments, when using the utility function approach for the implementation example, objective function values, monetary payout after project completion, time to market, quality reputation, and market share, can be normalized, multiplied with their respective preference weight, and summed to one fitness value for each portfolio. In the case of Goldberg's Pareto ranking, non-dominated portfolios in the population can be assigned a Rank Number 0. Non-dominated refers to a condition of a portfolio in which no other portfolio can be found that has better values for monetary payout, time to market, quality reputation, and market share. When assigning Rank Number one, Rank Number zero portfolios can be disregarded when identifying the remaining non-dominated portfolios. This process continues with progressively higher Rank Numbers until the entire population has been assigned a rank.

**[00102]** While solutions with good fitness values are desired, such solutions cannot be considered a final solution, as long as they violate the identified constraints. For the implementation example, a penalty approach can be used that diminishes the constraint-violating portfolio's utility function fitness by a certain percentage, e.g., twenty percent, or increases its Rank Number by one or two for the Pareto Ranking approach. As a consequence, those portfolios that violate constraints would appear to be less desirable as feasible others with similar fitness; however, they could still be more desirable than some feasible portfolios with very poor fitness. In this manner, some of the "good" genetic material for the "good" constraint-violating portfolios can be preserved for the next generation, hopefully identifying solutions that have a high fitness and satisfy the constraints.

**[00103]** A condition for determining whether the ending of an iteration can be evaluated **224**. This condition, specified by the portfolio managers, can vary from reaching/satisfying a number of generations this iteration was supposed to entail, to reaching/satisfying a specified fitness or objective function level, to reaching/satisfying a specified minimum "distance" between portfolio alternatives, guaranteeing a diverse population. Once the condition is satisfied, the set of

interesting solutions is (possibly) further reduced to a display set **214**. Otherwise, new parents can be selected for the EA's next generation **226**.

**[00104]** Determining new parents **226** can include determining, based on the fitness of each population member and/or the designers' feedback, which solution participates in the creation of the next generation, and which solution has to leave the pool of useful solutions. The following includes a list of popular selection mechanism choices:

- $(\mu + \lambda)$
- $(\mu, \lambda)$
- Elitism

*For example: elite size: two, best fitness*

- Proportional probabilistic

*For example: the better the fitness the higher the probability of selection for crossover*

- Tournament method

**[00105]** For design problems with a large number of objectives, it is possible that the set of interesting solutions, e.g., a set of Pareto optimal solutions, is large. In such cases, a further down-selecting of the alternatives to be displayed can be performed **214**. Some example down-selecting options may use cluster analysis, displaying a representative alternative that is central with respect to objectives or design variables, and/or selecting a fixed number of alternatives with highest fitness to be presented to the portfolio managers.

**[00106]** As provided with respect to Figure 2, the process ends **228** with the portfolio manager(s) selects a solution that subjectively satisfies the manager(s)'s requirements best.

**[00107]** The disclosed methods and systems can further be employed in the area of image processing. As an audio signal data exists in the time domain, 2D images exist in a two-dimensional spatial domain. In one embodiment, a continuous function for an image can be described as  $a(x,y)$ , where  $a$  is the intensity of the image at location  $x,y$ . Digitally sampling the image results in a matrix  $[m,n]$  of intensity information where  $m$  and  $n$  are the image height and length in pixels. The size of the matrix is equivalent to the resolution of the image. A higher resolution sampling results in a more precise image representation but also a larger store of

1 information. Image intensity, or amplitude, is a number, often from 0 to 255. This can be a  
2 single number for grayscale images or multiple numbers. For example, an RGB coded image has  
3 three values to represent red, green, and blue. A image containing 23 bits of information, or 256  
4 x 256 x 256, can contain 16 million colors.

5 **[00108]** Image filters are used for aesthetics, analysis, restoration, archival, and other reasons.  
6 Processing is needed on images to reduce artifacts, repair color and/or illumination after down-  
7 sampling, resolution enhancement, feature enhancement, and for other creative reasons. Filters  
8 are also useful in encryption, compression, and communication of digitized signals.

9 **[00109]** There are generally three types of filters: point, local, global. A point filter changes a  
10 pixel based on the value of the pixel, a local filter modifies a pixel based on its neighborhood,  
11 and a global filter modifies a pixel based on statistics from an entire image. Arithmetic filters  
12 can be applied to single or multiple images as input. Sample arithmetic filters are AND, OR,  
13 XOR, add, subtract, and multiply. For example a scaling can be performed on an image where  
14 each pixel's value is multiplied by 0.9, to decrease the intensity of the image. A scalar of 1.2 can  
15 provide a brighter image. Scaling an image by a number can be applied to a pixel overall, or  
16 applied individually to the color components of a pixel.

17 **[00110]** Convolution is a local filter that changes the intensity of a pixel based on its neighbor  
18 pixels. Convolution can be used for blurring, sharpening, edge detection, embossing and other  
19 effects. A convolution filter uses a kernel matrix to act as a window on the pixels around a  
20 location. The kernel is an (m x n) matrix of numbers used to create weighted average of the  
21 pixels around the location. Typical 3x3 convolution kernels are shown in Figure 12, and a  
22 sample output image processed by such kernels is shown in Figure 13. Although the illustrative  
23 convolution kernels are (3x3) matrices, the disclosed methods and systems are not limited to  
24 such size. Convolution can also be used as a local filter by multiplying the identity matrix by a  
25 value.

26 **[00111]** A lookup filter is another image operation that involves creating a lookup table for  
27 each byte (0 to 255), and substituting values from the image using the table. This can be used for

low pass filters, color reduction and compression, and various artistic effects, amongst other uses.

**[00112]** The disclosed methods and systems can be used for filter creation, as many users of such filters (e.g., digital image enhancement software users, etc.) may not understand the complexities generated by applying a single, and/or multiple sequential filters, to an image.

**[00113]** An interactive algorithm, here implemented as an application (e.g., set of processor instructions), can be created to allow a user to interactively select filtering operations to perform on an image, and the sequence and parameters for those filters. This application does not require user knowledge about image processing or filters. Instead of specifying a specific set of filters to be used, the user can be presented with a set of images created from computer generated filters, and the user can be prompted to select an image that most matches a desired output. The application can then create, using a genetic algorithm (GA) as provided herein, a new set of images based on the favored image, and present the new set to the user. As provided throughout this disclosure, this iteration can continue until the user is satisfied with the result, and thereafter, the user can save the filter and/or the resultant image. A screenshot of one GUI for a sample application is shown in Figure 14.

**[00114]** As provided herein, in a standard GA, a population is evaluated for fitness and evolved. The members of the population are judged based on their phenotype, i.e., their characteristics or behaviors. The phenotype for an individual is encoded via their genotype, which for a genetic algorithm is similar to a “digital DNA” or a set of parameters that describe the traits of the individual. Historically, a genotype was represented as a string of ones and zeros that would be decoded to the final phenotype. Any type of number can be used in a genotype. For this application, a set of floating point numbers and integers can be used. Each number represents a specific parameter for the image filter.

**[00115]** The descriptions of the genes in a sample implementation of this evolutionary algorithm (EA)/GA are shown in Table 1.

Table 1: Image Processing Genotype

Gene Name	Description	Sample Values
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Gene Name	Description	Sample Values
Operation Selections	A sequenced list of operators to perform	{Convolution, Rescaling, Recoloring, Blurring, Sharpening, etc.}
Scale	A multiplier for each pixel in the image	0.5 to 4.0
Offset	An offset amount to add to each pixel in the image	-150.0 to 150.0
Sharpen Amount	A multiple of the identity matrix applied to a standard sharpening convolution kernel	5
Blur Amount	A multiple of the identity matrix applied to a standard blurring convolution kernel	.1
Individual Scaling Amounts	The same as scale above but for individual color components (e.g., RGB)	0.5 to 4.0
Convolution Kernel	An MxN matrix (usually 3x3) for custom convolution operations	-10 to 10

[00116] Each individual of the population can be generated (Figure 2, 218) with random values within certain constraints. When the user selects 216 one image for mutation, the function for that image is used to create MxN -1 offspring 218. Mutation is applied to the function by modifying each gene with a certain probability. Accordingly, a random number is created for each gene and if the number is below a mutation rate probability, then that gene is selected for mutation. Once a gene is to be mutated, it can be multiplied by a Gaussian random number multiplied by a sigma specific for that gene. Each gene can have its own sigma value since some values should change more gradually than others. The sigma values for the genes can be scaled by an overall mutation sigma parameter.

[00117] Crossover can be performed when the user selects two parent images. The filter functions for those images can be combined by randomly taking genes from one of the two parents to compose an offspring.

[00118] After each generation of mutation or crossover 224, a user is presented 214 with a series of new images based on new filter functions. The user can evaluate the images based on a

1 comparison with a predetermined output (e.g., another image). If the user has no predetermined  
2 image quality in mind, the tool can be used to create new ideas and explore the filter search  
3 space. In this way, the disclosed methods and systems assist the user in the creative process by  
4 interactively presenting new ideas based on suggestions from the user.

5 **[00119]** A variation on the illustrated GUI can include a slider-type input for the user that  
6 increases or decreases the mutation amount. Other variations, as provided throughout the  
7 disclosed embodiments, should be evident.

8 **[00120]** What has thus been described are methods and systems that include generating a  
9 solution set based on an evolutionary scheme in which an objective function is a priori  
10 mathematically unexpressed, presenting data based on the solution set to one or more users,  
11 receiving at least one input from the user(s), the input(s) based on the user(s)'s evaluation of the  
12 presented solution set, and, based on the input(s), using at least the evolutionary scheme and the  
13 input(s) to generate an updated solution set, and repeating the presenting and receiving. It can be  
14 understood, as provided previously herein, that different aspects and features of the various  
15 embodiments presented herein, can and may be employed in other of the disclosed and non-  
16 disclosed embodiments, and thus, features of the disclosed methods and systems may be  
17 represented by one or more of the exemplary embodiments.

18 **[00121]** The methods and systems described herein are not limited to a particular hardware or  
19 software configuration, and may find applicability in many computing or processing  
20 environments. The methods and systems can be implemented in hardware or software, or a  
21 combination of hardware and software. The methods and systems can be implemented in one or  
22 more computer programs, where a computer program can be understood to include one or more  
23 processor executable instructions. The computer program(s) can execute on one or more  
24 programmable processors, and can be stored on one or more storage medium readable by the  
25 processor (including volatile and non-volatile memory and/or storage elements), one or more  
26 input devices, and/or one or more output devices. The processor thus can access one or more  
27 input devices to obtain input data, and can access one or more output devices to communicate  
28 output data. The input and/or output devices can include one or more of the following: Random

1 Access Memory (RAM), Redundant Array of Independent Disks (RAID), floppy drive, CD,  
2 DVD, magnetic disk, internal hard drive, external hard drive, memory stick, or other storage  
3 device capable of being accessed by a processor as provided herein, where such aforementioned  
4 examples are not exhaustive, and are for illustration and not limitation.

5 **[00122]** The computer program(s) can be implemented using one or more high level  
6 procedural or object-oriented programming languages to communicate with a computer system;  
7 however, the program(s) can be implemented in assembly or machine language, if desired. The  
8 language can be compiled or interpreted.

9 **[00123]** As provided herein, the processor(s) can thus be embedded in one or more devices  
10 that can be operated independently or together in a networked environment, where the network  
11 can include, for example, a Local Area Network (LAN), wide area network (WAN), and/or can  
12 include an intranet and/or the internet and/or another network. The network(s) can be wired or  
13 wireless or a combination thereof and can use one or more communications protocols to facilitate  
14 communications between the different processors. The processors can be configured for  
15 distributed processing and can utilize, in some embodiments, a client-server model as needed.  
16 Accordingly, the methods and systems can utilize multiple processors and/or processor devices,  
17 and the processor instructions can be divided amongst such single or multiple processor/devices.

18 **[00124]** The device(s) or computer systems that integrate with the processor(s) can include,  
19 for example, a personal computer(s), workstation (e.g., Sun, HP), personal digital assistant  
20 (PDA), handheld device such as cellular telephone, laptop, handheld, or another device capable  
21 of being integrated with a processor(s) that can operate as provided herein. Accordingly, the  
22 devices provided herein are not exhaustive and are provided for illustration and not limitation.

23 **[00125]** References to “a microprocessor” and “a processor”, or “the microprocessor” and “the  
24 processor,” can be understood to include one or more microprocessors that can communicate in a  
25 stand-alone and/or a distributed environment(s), and can thus can be configured to communicate  
26 via wired or wireless communications with other processors, where such one or more processor  
27 can be configured to operate on one or more processor-controlled devices that can be similar or  
28 different devices. Use of such “microprocessor” or “processor” terminology can thus also be

1 understood to include a central processing unit, an arithmetic logic unit, an application-specific  
2 integrated circuit (IC), and/or a task engine, with such examples provided for illustration and not  
3 limitation.

4 **[00126]** Furthermore, references to memory, unless otherwise specified, can include one or  
5 more processor-readable and accessible memory elements and/or components that can be internal  
6 to the processor-controlled device, external to the processor-controlled device, and/or can be  
7 accessed via a wired or wireless network using a variety of communications protocols, and unless  
8 otherwise specified, can be arranged to include a combination of external and internal memory  
9 devices, where such memory can be contiguous and/or partitioned based on the application.

10 Accordingly, references to a database can be understood to include one or more memory  
11 associations, where such references can include commercially available database products (e.g.,  
12 SQL, Informix, Oracle) and also proprietary databases, and may also include other structures for  
13 associating memory such as links, queues, graphs, trees, with such structures provided for  
14 illustration and not limitation.

15 **[00127]** References to a network, unless provided otherwise, can include one or more intranets  
16 and/or the internet. References herein to microprocessor instructions or microprocessor-  
17 executable instructions, in accordance with the above, can be understood to include  
18 programmable hardware.

19 **[00128]** Unless otherwise stated, use of the word “substantially” can be construed to include a  
20 precise relationship, condition, arrangement, orientation, and/or other characteristic, and  
21 deviations thereof as understood by one of ordinary skill in the art, to the extent that such  
22 deviations do not materially affect the disclosed methods and systems.

23 **[00129]** Throughout the entirety of the present disclosure, use of the articles “a” or “an” to  
24 modify a noun can be understood to be used for convenience and to include one, or more than  
25 one of the modified noun, unless otherwise specifically stated.

26 **[00130]** Elements, components, modules, and/or parts thereof that are described and/or  
27 otherwise portrayed through the figures to communicate with, be associated with, and/or be

1 based on, something else, can be understood to so communicate, be associated with, and or be  
2 based on in a direct and/or indirect manner, unless otherwise stipulated herein.

3 **[00131]** Although the methods and systems have been described relative to a specific  
4 embodiment thereof, they are not so limited. Obviously many modifications and variations may  
5 become apparent in light of the above teachings. For example, it can be understood that the  
6 genetic operations can be multiple and/or varied, and different parameters associated with such  
7 genetic operations can be provided as input by a user/expert. Further, although user input was  
8 specified in the illustrated embodiments of Figures 1 and 2 as occurring at a certain instance,  
9 input from the user can be provided at multiple stages (e.g., at initialization, problem definition,  
10 etc.). Further, the disclosed embodiments can employ the use of randomly generated solutions in  
11 addition to those derived from user selection. In multi-user embodiments, for example, the  
12 formulation of the solution can be partitioned amongst several users, with different users  
13 influencing the solution in a different manner. For example, an employee(s) may provide  
14 subjective input on solutions, however a manager(s) may apply/specify (additional) constraints  
15 on such solutions.

16 **[00132]** Any additional changes in the details, materials, and arrangement of parts, herein  
17 described and illustrated, can be made by those skilled in the art. Accordingly, it will be  
18 understood that the following claims are not to be limited to the embodiments disclosed herein,  
19 can include practices otherwise than specifically described, and are to be interpreted as broadly as  
20 allowed under the law.